



RECEIVED  
CENTRAL FAX CENTER

NOV 10 2004

November 10, 2004

TO: **THE COMPANY** TEL: **THE TELEPHONE** FAX: **THE FACSIMILE**

United States Patent and Trademark Office Alexandria, Va. 703-872-9306

FROM:	COMPANY:	TELEPHONE:	FACSIMILE:
Thomas B. Ryan	HARTER, SECREST & EMERY LLP	585-231-1101	585-232-2152
OUR FILE: 588/31862.000065			

TOTAL NUMBER OF PAGES SENT (INCLUDING THIS COVER SHEET): 15 page(s)

**MESSAGE OR SPECIAL INSTRUCTIONS:**

IF YOU DID NOT RECEIVE THE NUMBER OF PAGES SHOWN ABOVE, OR IF ANY COPIES ARE ILLEGIBLE,  
PLEASE CALL THE TELECOMMUNICATIONS OPERATOR AT 555-231-1488

THE INFORMATION CONTAINED IN THIS FAXCIMILE MESSAGE IS PRIVILEGED AND/OR CONFIDENTIAL AND IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

PTO/SB/21 (04-04)

Approved for use through 07/31/2008, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission

Application Number

10/028,126

Filing Date

December 20, 2001

First Named Inventor

Baller, Eric Henry

RECEIVED  
CENTRAL  
FAX CENTER

Art Unit

2852

Examiner Name

BRAUN, FRED L

NOV 10 2004

Attorney Docket Number

31862.000065

## ENCLOSURES (check all that apply)

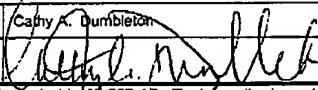
<input type="checkbox"/> Fee Transmittal	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Supplemental Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Three individually executed declarations	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas B. Ryan, HARTER, SECREST & EMERY LLP
Signature	
Date	November 10, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Type or printed name	Cathy A. Dumbleton	Date	November 10, 2004
Signature			

This collection of information is required by 37 CFR 1.1. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED  
CENTRAL FAX CENTER

PATENTS NOV 10 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Baller, Eric Henry	Atty. Docket:	31862.000065
Serial No.:	10/028,126	Examiner:	BRAUN, FRED L
Filed:	December 20, 2001	Art Unit:	2852
Title:	METHOD AND APPARATUS FOR MANAGING INTELLIGENT ASSETS IN A DISTRIBUTED ENVIRONMENT		

Supplemental Response

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

By Facsimile

Sir:

Further to the Amendment mailed September 15, 2004, applicants submit three executed copies of the Declaration by three of the inventors who were not immediately available at the time the Amendment was mailed. The three inventors were no longer employed by the assignee of this application and obtaining their signatures on the Declaration was delayed as a result. The attached Declaration copies (four pages each) include the individual signatures of the inventors as follows:

1. John Maitland Cook III
2. Christopher James Kuntz
3. Thomas Chiarella

For any questions regarding the application or this supplemental response, the Examiner is invited to contact applicants' representative by telephone at the number listed below.

Respectfully submitted,



Thomas B. Ryan, Registration No. 31,859  
HARTER, SECREST & EMERY LLP  
1600 Bausch & Lomb Place  
Rochester, New York 14604  
Telephone: 585-231-1101  
Fax: 585-232-2152